



PATENT PCT  
5.20 09/80  
JC09 Rec'd PCT/PTO 02 MAY 2002

Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)  
Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/018,160
	Filing Date	11/01/2001
	First Named Inventor	Ronald Alan COFFEE et al
	Group Art Unit	1762
	Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number 103202-45423

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1. PTO-144 2. Cited references9
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Robert J. Hess (Reg 32,139)
Signature	
Date	05/15/2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 05/15/2002			
Typed or printed name	Rosemarie Medina		
Signature		Date	05/15/2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



#2

CERTIFICATE OF MAILING

I hereby certify that this paper and every paper referred to therein as being enclosed is being deposited with the U.S. Postal Service as first class mail, postage prepaid, in an envelope addressed to: Box DD, NO FEE, Assistant Commissioner for Patents, PO Box 2327, Arlington, VA 22202, on May 15,

*Rosemarie Medina*  
Signature

Rosemarie Medina  
Name

Attorney Docket No.: 103202-45423

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Ronald Alan COFFEE et al Art Unit: 1762  
Serial No.: 10/018,160 Examiner: To be assigned  
Filing Date: November 1, 2001  
For: METHOD AND APPARATUS FOR MANUFACTURING  
DISSOLVABLE TABLETS

BOX DD – NO FEE  
Assistant Commissioner for Patents  
PO Box 2327  
Arlington, VA 22202

**INFORMATION DISCLOSURE STATEMENT**

Sir:

In compliance with the duty to disclose, Applicant submits herewith Form PTO-1449 together with a copy of the cited references and the British Office Action citing said references..

Consideration of this Information Disclosure Statement is respectfully requested, since the information provided herein may be material to the patentability of the subject application as defined in 37 C.F.R. §1.56. The submission of reference with this Information Disclosure Statement should not be construed by the Examiner that a comprehensive search through the prior art was conducted; it is requested that the Examiner make such a comprehensive search.

The applicant requests that the reference cited on the accompanying Form PTO-1449 be made of record because, as checked off below, the following applies:

X either (a) this submission precedes issuance of a first Office Action on the merits or (b) a Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission and neither a final Office Action nor a Notice of Allowance has issued. No fee is due.

\_\_\_ neither a final Office Action nor a Notice of Allowance has issued and no Certification of Timely Filing Under 37 CFR 1.97(e) accompanies this submission. A late submission fee under 37 CFR 1.97(c) is due.

\_\_\_ either a final Office Action or a Notice of Allowance has issued. Petition is made for consideration of the accompanying references. A petition fee is due together with a Certification of Timely Filing Under 37 CFR 1.97(e).

If a fee is due as mentioned previously and has not been submitted in connection with an earlier filed Information Disclosure Statement, the fee is enclosed. Otherwise, if a fee is required, the Commissioner is authorized to charge same to Deposit Account 03-3839.

If submission of the accompanying reference is deemed untimely to be made of record for this application, however, it is requested that they be placed in the file and that the applicant be so informed.

Dated: May 15, 2002

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Robert J. Hess", written over a horizontal line.

Robert J. Hess  
Reg. No. 32,139

GIBBONS, DEL DEO, DOLAN  
& GRIFFINGER & VECCHIONE  
750 Lexington Avenue  
New York, NY 10022  
Tel (212) 486-4000  
Fax (212) 486-4007